



# WEALTHMANAGEMENT

FORM ADV PART 2B  
BROCHURE SUPPLEMENT  
July 6, 2023

**JAMES L. THOMPSON II**

INVESTMENT ADVISOR REPRESENTATIVE

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This brochure supplement provides information about James L. Thompson II, Investment Adviser Representative of WT Wealth Management, LLC (“WTWM” or the “Firm”), that supplements the WT Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Dave Johnson, Chief Compliance Officer, if you did not receive WT Wealth Management, LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about the Firm’s Investment Adviser Representatives is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The site is searchable by a unique identifying number known as a CRD number. Mr. Thompson’s CRD number is 4981549.

## **ITEM 1 BACKGROUND**

### **A. GENERAL REQUIREMENTS**

Generally, WTWM requires employees to have relevant work experience in the securities industry. Any employee of WTWM acting in a representative capacity will be appropriately licensed and registered as such.

### **B. INVESTMENT ADVISER REPRESENTATIVE INFORMATION**

We currently have thirteen (13) investment adviser representatives employed by WTWM. This Brochure Supplement provides information about Mr. Thompson.

## **ITEM 2 EDUCATION AND BUSINESS EXPERIENCE**

CRD No. 4981549

Year of Birth: 1981

### *Educational Background*

**Purdue University** (Jan. 2001 – Aug. 2005)

Bachelor of Arts in Business Management

### *Business Experience*

**Senior Investment Advisor** (Jun. 2023 - Present)

WT Wealth Management, LLC

**Registered Representative** (Aug. 2022- Jun. 2023)

Money Concepts Capital Corp

**Registered Representative** (Mar. 2022 – Aug. 2022)

MIT Associates, LLC

**Director** (Oct. 2021 – Jun. 2022)

KB Exchange Trust

**Director** (Oct. 2021 – Jun. 2012)

Kingsbarn Capital Management

**Registered Representative** (Nov. 2021 – Feb. 2022)

Emerson Equity LLC

**ETF Sales Representative** (Feb. 2014 – Sep. 2021)

Northern Trust Bank

**Registered Representative** (Feb. 2014 – Sep. 2021)

Northern Trust Securities Inc

**Investment Advisor Representative** (May 2012 – Feb. 2014)

ING Financial Partners

**Personal Banker** (Aug. 2010 – May 2012)

JP Morgan Chase

### **ITEM 3 DISCIPLINARY INFORMATION**

**There are no legal, civil, or disciplinary events to disclose regarding Mr. Thompson.** Mr. Thompson has never been involved in any investment related regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Thompson.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair, or unethical practices. **As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mr. Thompson.**

However, we do encourage you to independently view the background of Mr. Thompson on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD No. 4981549.

### **ITEM 4 OTHER BUSINESS ACTIVITIES**

Mr. Thompson is a licensed insurance agent, though is not affiliated with any particular agency. He spends approximately 2 hours per month maintaining his licenses. Mr. Thompson may recommend that clients purchase fixed insurance or fixed annuity insurance products. He may receive commissions resulting from insurance sales. Mr. Thompson therefore may have an incentive to recommend insurance products based on the compensation to be earned. To mitigate this conflict, Mr. Thompson, as a fiduciary, will only recommend insurance products when he believes it to be in the client's best interest. Furthermore, clients are under no obligation to utilize Mr. Thompson to purchase insurance products.

Mr. Thompson is 50% owner of Lionheart Wealth Consultants LLC., a financial services consulting business providing business and insurance advice. He spends approximately five (5) hours per week on this activity.

Mr. Thompson is the Head Soccer Coach for Great Hearts Academy. He has held this position since January 2023. Mr. Thompson spends 1-10% of his time during non-securities hours on this activity.

## **ITEM 5      ADDITIONAL COMPENSATION**

Mr. Thompson may participate in product sponsor educational meetings/conferences. Product sponsors periodically reimburse certain Representatives for the costs of client appreciation dinners, seminars or other events. The possibility of this additional compensation or sponsor reimbursements may create a conflict of interest.

## **ITEM 6      SUPERVISION**

Mr. Thompson is an Investment Adviser Representative of WTWM. WTWM provides investment advisory services in accordance with its policies and procedures manual. Dave Johnson serves as WTWM's Chief Compliance Officer and is primarily responsible for implementation of the Firm's policies and procedures. Mr. Johnson may be contacted at (435) 640-8236 or [djohnson@wtwealthmanagement.com](mailto:djohnson@wtwealthmanagement.com) for more information about this Brochure Supplement.