



# WEALTHMANAGEMENT

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT  
August 5, 2025**

**ALLEN ATKINS, PH.D.**

SENIOR INVESTMENT ADVISOR

(928) 225-2474

[aatkins@wtwealthmanagement.com](mailto:aatkins@wtwealthmanagement.com)

Supervised From:

20865 North 90<sup>th</sup> Place, Suite 105  
Scottsdale, AZ 85255

Located At:

813 N Beaver St  
Flagstaff, AZ 86001

This brochure supplement provides information about Dr. Allen Atkins, Senior Investment Advisor of WT Wealth Management, LLC ("WTWM" or the "Firm"), that supplements the WT Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Dave Johnson, Chief Compliance Officer, if you did not receive WT Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about the Firm's Investment Adviser Representatives is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The site is searchable by a unique identifying number known as a CRD number. Dr. Atkins' CRD number is 6239393.

## ITEM 2: EDUCATION AND BUSINESS EXPERIENCE

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CRD No. 6239393

Year of Birth: 1951

### Educational Background

**University of Texas at Austin** (1988)  
PhD

**Stephen F. Austin State University** (1982)  
MBA

**Dartmouth College** (1973)  
BA

### Business Experience

**WT Wealth Management, LLC** (Jan. 2022 – Present)  
Senior Investment Advisor

**WT Wealth Management, LLC** (Jan. 2018 – Jan. 2022)  
Chief Marketing Strategist

**Northern Arizona University** (Apr. 1998 – Aug. 2020)  
Professor of Finance

**Four Peaks Wealth Management, LLC** (Oct. 2012 – Mar. 2018)  
Chief Market Strategist/Member

**Four Peaks Wealth Sedona, LLC** (Feb. 2017 – Nov. 2017)  
Member

**University of Arizona** (Aug. 1988 – Aug. 1998)  
Assistant Professor of Finance

**Berger Entrepreneurship Program, University of Arizona** (Jul. 1995 – Jul. 1998)  
Associate Director, Karl Eller Center

**University of Auckland** (May 1993 – Aug. 1993)  
Visiting Senior Lecturer in Finance

**University of Texas** (Aug. 1985 – May 1988)  
Assistant Instructor of Finance

**Stephen F. Austin State University** (Jan. 1983 – May 1983)  
Assistant Instructor of Management and Marketing

**Barnett's Tax Service** (Jan. 1978 – May 1979)  
Income Tax Consultant

*Professional Designation*

**Professor of Finance Emeritus**

**ITEM 3: DISCIPLINARY INFORMATION**

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**There are no legal, civil, or disciplinary events to disclose regarding Mr. Atkins.** Mr. Atkins has never been involved in any investment related regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Atkins.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair, or unethical practices. **As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mr. Atkins.**

However, we do encourage you to independently view the background of Mr. Atkins on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD No. 6239393.

**ITEM 4: OTHER BUSINESS ACTIVITIES**

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Dr. Atkins is dedicated to serving the clients of WT Wealth Management and does not currently engage in any other business activities.

**ITEM 5: ADDITIONAL COMPENSATION**

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Dr. Atkins does not receive any economic benefit from any person, company or organization, other than the client, in exchange for providing clients advisory services through WT Wealth Management.

**ITEM 6: SUPERVISION**

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Dr. Atkins is a Senior Investment Advisor of WTWM. WTWM provides investment advisory services in accordance with its policies and procedures manual. Dave Johnson serves as WTWM's Chief Compliance Officer and is primarily responsible for implementation of the Firm's policies and procedures. Mr. Johnson may be contacted at (800) 825-0616 or [compliance@wtwealthmanagement.com](mailto:compliance@wtwealthmanagement.com) for more information about this Brochure Supplement.



# WEALTHMANAGEMENT

## FORM ADV PART 2B BROCHURE SUPPLEMENT

August 5, 2025

### **AUSTIN ROGERS**

INVESTMENT ADVISOR  
FINANCIAL SERVICE SPECIALIST

(928) 225-2474

[arogers@wtwealthmanagement.com](mailto:arogers@wtwealthmanagement.com)

Supervised From:  
20865 North 90<sup>th</sup> Place, Suite 105  
Scottsdale, AZ 85255

Located At:  
Las Vegas, NV 89120

This brochure supplement provides information about Austin Rogers, Investment Advisor and Financial Service Specialist of WT Wealth Management, LLC ("WTWM" or the "Firm"), that supplements the WT Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Dave Johnson, Chief Compliance Officer, if you did not receive WT Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about the Firm's Investment Adviser Representatives is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The site is searchable by a unique identifying number known as a CRD number. Austin Rogers's CRD number is 7518550.

## **ITEM 2: EDUCATION AND BUSINESS EXPERIENCE**

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CRD No. 7518550

Year of Birth: 1998

### **Educational Background**

<b>The University of Tennessee at Martin</b> BS in Accounting & Finance	<b>(2020)</b>
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### **Business Experience**

<b>WT Wealth Management, LLC</b> Financial Service Specialist	<b>(Jun. 2024 – Present)</b>
<b>Pinstripe Investments, LLC</b> Owner & Sole Member	<b>(Mar. 2023 – Present)</b>
<b>WT Wealth Management, LLC</b> Investment Advisor Representative	<b>(May 2022 – Present)</b>
<b>WT Wealth Management, LLC</b> Accounting & Compliance Administrator	<b>(Mar. 2021 – June 2023)</b>
<b>The University of Tennessee at Martin</b> Student	<b>(Aug. 2016 – May 2020)</b>
<b>Field Works</b> Head Canvasser	<b>(June 2020 – Aug. 2020)</b>
<b>Sprout's Farmer Market</b> Produce Clerk	<b>(June 2018 – Aug. 2018)</b>

## **ITEM 3: DISCIPLINARY INFORMATION**

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**There are no legal, civil, or disciplinary events to disclose regarding Mr. Rogers.** Mr. Rogers has never been involved in any investment related regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Rogers.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft,

embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair, or unethical practices. **As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mr. Rogers.**

However, we do encourage you to independently view the background of Mr. Rogers on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD No. 7518550.

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**ITEM 4: OTHER BUSINESS ACTIVITIES**

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Mr. Rogers is 100% Owner and Sole Member of Pinstripe Investments, LLC ("Pinstripe"). All financial advisory activity related to his Investment Advisor Representative registration with WTWM is conducted through the entity. Mr. Rogers' activities related to Pinstripe are basic administration and maintenance of the entity which take approximately two hours per month outside of securities trading hours.

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**ITEM 5: ADDITIONAL COMPENSATION**

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Mr. Rogers does not receive any economic benefit from any person, company or organization, other than the client, in exchange for providing clients advisory services through WT Wealth Management.

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**ITEM 6: SUPERVISION**

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Mr. Rogers is an Investment Advisor and Financial Service Specialist of WTWM. WTWM provides investment advisory services in accordance with its policies and procedures manual. Dave Johnson serves as WTWM's Chief Compliance Officer and is primarily responsible for implementation of the Firm's policies and procedures. Mr. Johnson may be contacted at (800) 825-0616 or [compliance@wtwealthmanagement.com](mailto:compliance@wtwealthmanagement.com) for more information about this Brochure Supplement.



# WEALTHMANAGEMENT

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT  
August 5, 2025**

**BRENT RANKIN, CMT**

INVESTMENT ADVISOR  
INVESTMENT MODEL & STRATEGY ANALYST  
(928) 225-2474  
[brankin@wtwealthmanagement.com](mailto:brankin@wtwealthmanagement.com)

Supervised From:  
20865 North 90<sup>th</sup> Place, Suite 105  
Scottsdale, AZ 85255

Located At:  
Wayne, Nebraska 68787

This brochure supplement provides information about Brent Rankin, Investment Advisor and Investment Model and Strategy Analyst of WT Wealth Management, LLC (“WTWM” or the “Firm”), that supplements the WT Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Dave Johnson, Chief Compliance Officer, if you did not receive WT Wealth Management, LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about the Firm’s Investment Adviser Representatives is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The site is searchable by a unique identifying number known as a CRD number. Brent Rankin’s CRD number is 6482037.

## ITEM 2: EDUCATION AND BUSINESS EXPERIENCE

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CRD No. 6482037

Year of Birth: 1984

### Educational Background

**Western Governors University**

**(2018 - 2020)**

BS in Business Management

### Business Experience

**WT Wealth Management, LLC**

**(Aug. 2020 - Present)**

Investment Advisor, Investment Model & Strategy Analyst

**JP Morgan Securities**

**(Jun. 2020- Aug. 2020)**

Licensed Banker

**JP Morgan Chase Bank**

**(Mar. 2020 - Aug. 2020)**

Private Client Banker

**Charles Schwab & Co., Inc.**

**(Apr. 2015 - Jun. 2019)**

Registered Representative

## ITEM 3: DISCIPLINARY INFORMATION

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**There are no legal, civil, or disciplinary events to disclose regarding Mr. Rankin.** Mr. Rankin has never been involved in any investment related regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Rankin.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair, or unethical practices. **As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mr. Rankin.**

However, we do encourage you to independently view the background of Mr. Rankin on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD No. 6482037.

## ITEM 4: OTHER BUSINESS ACTIVITIES

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Mr. Rankin is dedicated to the clients of WT Wealth Management and does not currently engage in any other business activities.



**ITEM 5: ADDITIONAL COMPENSATION**

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Mr. Rankin does not receive any economic benefit from any person, company or organization, other than the client, in exchange for providing clients advisory services through WT Wealth Management.

**ITEM 6: SUPERVISION**

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Mr. Rankin is an Investment Advisor and the Investment Model and Strategy Analyst with WTWM. WTWM provides investment advisory services in accordance with its policies and procedures manual. Dave Johnson serves as WTWM's Chief Compliance Officer and is primarily responsible for implementation of the Firm's policies and procedures. Mr. Johnson may be contacted at (800) 825-0616 or [compliance@wtwealthmanagement.com](mailto:compliance@wtwealthmanagement.com) for more information about this Brochure Supplement.



# WEALTHMANAGEMENT

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT  
August 5, 2025**

**THOMAS CLAYTON SIMMONS**

SENIOR INVESTMENT ADVISOR

(800) 825-0616

[csimmons@wtwealthmanagement.com](mailto:csimmons@wtwealthmanagement.com)

20865 North 90<sup>th</sup> Place, Suite 105  
Scottsdale, AZ 85255

This brochure supplement provides information about Thomas Clayton Simmons, Senior Investment Advisor of WT Wealth Management, LLC ("WTWM" or the "Firm"), that supplements the WT Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Dave Johnson, Chief Compliance Officer, if you did not receive WT Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about the Firm's Investment Adviser Representatives is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The site is searchable by a unique identifying number known as a CRD number. Mr. Simmons' CRD number is 2045436.

## ITEM 2: EDUCATION AND BUSINESS EXPERIENCE

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CRD No. 2045436

Year of Birth: 1959

### Business Experience

<b>WT Wealth Management, LLC</b> Senior Investment Advisor	<b>(Nov. 2023 – Present)</b>
<b>TCS Financial, LLC</b> President	<b>(Jun. 2009 - Present</b>
<b>TCS Financial, LLC</b> President, CCO & Investment Advisor Representative	<b>(Jun. 2009 – Nov. 2023)</b>
<b>Allstate</b> Financial Advisor	<b>(Oct. 2008 – Feb. 2009)</b>
<b>First Financial Equity Corporation</b> Vice President	<b>(Apr. 2004 – Sep. 2008)</b>
<b>Morgan Stanley &amp; Co. Corporation</b> Vice President	<b>(Jun. 1997 – Apr. 2004)</b>
<b>Dean Witter Reynolds</b> Vice President	<b>(Jan. 1997 – May 1997)</b>

## ITEM 3: DISCIPLINARY INFORMATION

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**There are no legal, civil, or disciplinary events to disclose regarding Mr. Simmons.** Mr. Simmons has never been involved in any investment related regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Simmons.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair, or unethical practices. **As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mr. Simmons.**

However, we do encourage you to independently view the background of Mr. Simmons on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD No. 2045436.

#### **ITEM 4: OTHER BUSINESS ACTIVITIES**

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Mr. Simmons is 100% owner of TCS Financial, LLC, a financial services consulting business providing business and insurance advice. He spends approximately 10 to 15 hours per week on this activity.

#### **ITEM 5: ADDITIONAL COMPENSATION**

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Mr. Simmons does not receive any economic benefit from any person, company or organization other than the client, in exchange for providing clients advisory services through WT Wealth Management.

Mr. Simmons receives compensation “trails” for insurance products sold to Clients prior to his affiliation with WT Wealth Management’s Insurance Agency, which was formed in 2024. Mr. Simmons has an incentive to keep his insurance Clients in these policies to perpetuate the commission trails. To mitigate this conflict, Mr. Simmons, as a fiduciary, will advise in the best interest of his Clients and their financial arrangements, including insurance products.

#### **ITEM 6: SUPERVISION**

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Mr. Simmons is a Senior Investment Advisor with WTWM. WTWM provides investment advisory services in accordance with its policies and procedures manual. Dave Johnson serves as WTWM’s Chief Compliance Officer and is primarily responsible for implementation of the Firm’s policies and procedures. Mr. Johnson may be contacted at (800) 825-0616 or [compliance@wtwealthmanagement.com](mailto:compliance@wtwealthmanagement.com) for more information about this Brochure Supplement.



# WEALTHMANAGEMENT

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT  
August 5, 2025**

**EILEEN M. PROUD**

SENIOR INVESTMENT ADVISOR

(800) 825-0616

[eproud@wtwealthmanagement.com](mailto:eproud@wtwealthmanagement.com)

Supervised From:

20865 North 90<sup>th</sup> Place, Suite 105  
Scottsdale, AZ 85255

Located At:

Tampa, FL 33602

This brochure supplement provides information about Eileen M. Proud, Senior Investment Advisor of WT Wealth Management, LLC ("WTWM" or the "Firm"), that supplements the WT Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Dave Johnson, Chief Compliance Officer, if you did not receive WT Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about the Firm's Investment Adviser Representatives is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The site is searchable by a unique identifying number known as a CRD number. Mrs. Proud's CRD number is 1019703.

## ITEM 2: EDUCATION AND BUSINESS EXPERIENCE

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CRD No. 1019703

Year of Birth: 1954

### Educational Background

**Kaplan University**

**(2017)**

Wealth Management Specialist

### Business Experience

**WT Wealth Management, LLC**

**(Dec. 2015 – Present)**

Investment Advisor Representative, Senior  
Investment Advisor

**True Financial Wealth Management Firm, LLC**

**(March 2006 – Present)**

Member, Owner, President

**True Financial Wealth Management Firm, LLC**

**(May 2006 – Nov. 2020)**

Investment Advisor Representative

**Financial Advisors of America, LLC**

**(Jan. 2008 – Apr. 2013)**

Registered Representative, Investment Advisor  
Representative

**Girard Securities, Inc.**

**(Sept. 2002 – Dec. 2007)**

Registered Representative, Investment Advisor  
Representative

**Sentra Securities Corporation**

**(Jul. 1985 – Sept. 2002)**

Registered Representative, Investment Advisor  
Representative

**Private Ledger**

**(Feb. 1981 – July 1985)**

Registered Representative, Investment Advisor  
Representative

## ITEM 3: DISCIPLINARY INFORMATION

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**There are no legal, civil, or disciplinary events to disclose regarding Mrs. Proud that are material in nature to a client's perspective.**

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair, or unethical practices.

However, we do encourage you to independently view the background of Mrs. Proud on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with her full name or his Individual CRD No. 1019703.

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**ITEM 4: OTHER BUSINESS ACTIVITIES**

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Mrs. Proud is the Owner, President and Member of True Financial Wealth Management, LLC. Mrs. Proud does not actively engage in activities related to the entity other than basic administration and maintenance. This activity accounts for approximately two hours per month of Mrs. Proud's time.

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**ITEM 5: ADDITIONAL COMPENSATION**

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Mrs. Proud does not receive any economic benefit from any person, company or organization, other than the client, in exchange for providing clients advisory services through WT Wealth Management.

Mrs. Proud receives compensation "trails" for insurance products sold to Clients prior to her affiliation with WT Wealth Management's Insurance Agency, which was formed in 2024. Mrs. Proud no longer maintains an active insurance license and therefore does not currently engage in any new insurance policy writing activities.

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**ITEM 6: SUPERVISION**

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Mrs. Proud is a Senior Investment Advisor of WTWM. WTWM provides investment advisory services in accordance with its policies and procedures manual. Dave Johnson serves as WTWM's Chief Compliance Officer and is primarily responsible for implementation of the Firm's policies and procedures. Mr. Johnson may be contacted at (800) 825-0616 or [compliance@wtwealthmanagement.com](mailto:compliance@wtwealthmanagement.com) for more information about this Brochure Supplement.



# WEALTHMANAGEMENT

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT  
August 5, 2025**

**EMY TICE**

**CERTIFIED FINANCIAL PLANNER**

(928) 225-2474

[etice@wtwealthmanagement.com](mailto:etice@wtwealthmanagement.com)

Supervised From:

20865 North 90<sup>th</sup> Place, Suite 105  
Scottsdale, AZ 85255

Located At:

813 N Beaver St.  
Flagstaff, AZ 86001

This brochure supplement provides information about Emy Tice, Certified Financial Planner of WT Wealth Management, LLC ("WTWM" or the "Firm"), that supplements the WT Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Dave Johnson, Chief Compliance Officer, if you did not receive WT Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about the Firm's Investment Adviser Representatives is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The site is searchable by a unique identifying number known as a CRD number. Mrs. Tice's CRD number is 6499262.



## ITEM 2: EDUCATION AND BUSINESS EXPERIENCE

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CRD No. 6499262

Year of Birth: 1969

### Educational Background

**University of Georgia, Terry College of Business** (2014)  
Certificate in Financial Planning

**Northern Arizona University** (1994)  
MBA

**Northern Arizona University** (1993)  
BSBA Finance

### Business Experience

**WT Wealth Management, LLC** (Jan. 2018 - Present)  
Certified Financial Planner

**Northern Arizona University, W.A. Franke College of Business** (Aug. 2024 - Present)  
Teaching Professor of Finance

**Northern Arizona University, W.A. Franke College of Business** (Aug. 2022- Aug 2024)  
Associate Teaching Professor of Finance

**Northern Arizona University, W.A. Franke College of Business** (Aug. 2017 -Aug. 2022)  
Senior Lecturer of Finance

**Northern Arizona University, W.A. Franke College of Business** (Aug. 2011 - Aug. 2017)  
Lecturer of Finance

**Four Peaks Wealth Management, LLC** (Dec. 2016 - Jan. 2018)  
Certified Financial Planner

**Four Peaks Wealth Management, LLC** (Jun. 2015 - Dec. 2016)  
Financial Advisor

**Boyer Heating and Cooling** (Jan. 2003 - Aug. 2011)  
General Manager

**Boyer Heating and Cooling** (Mar. 1995 - Jan. 2003)  
Business Manager

**Northern Arizona University, W.A. Franke College of Business** (Jan. 1995 - May 2011)  
Part-Time Instructor of Business

### Professional Designations

#### **CERTIFIED FINANCIAL PLANNER™ (“CFP®”).**

The program is administered by the Certified Financial Planner Board of Standards Inc. Those with the CFP® designation have demonstrated competency in all areas of finance related to financial planning. Candidates must complete studies on over 100 topics, including stocks, bonds, taxes, insurance, retirement planning and estate planning. In addition to passing the CFP® certification exam, candidates must also complete qualifying work experience, agree to adhere to the CFP Board’s code of ethics and professional responsibility and financial planning standards and complete 30 hours of continuing education every two years.

### **ITEM 3: DISCIPLINARY INFORMATION**

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**There are no legal, civil, or disciplinary events to disclose regarding Mrs. Tice.** Mrs. Tice has never been involved in any investment related regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mrs. Tice.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair, or unethical practices. **As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mrs. Tice.**

However, we do encourage you to independently view the background of Mrs. Tice on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with her full name or her Individual CRD No. 6499262.

### **ITEM 4: OTHER BUSINESS ACTIVITIES**

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Mrs. Tice serves as a Teaching Professor of Finance for Northern Arizona University. Mrs. Tice may spend as much as 50% of her time on this other business activity.

Mrs. Tice is also a Committee Member of the Education and Workforce Development Committee with the Greater Flagstaff Chamber of Commerce and spends 2 hours a month on this activity.

#### **ITEM 5: ADDITIONAL COMPENSATION**

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Mrs. Tice does not receive any economic benefit from any person, company or organization, in exchange for providing clients advisory services through WT Wealth Management. Mrs. Tice receives a salary as a Teaching Professor for Northern Arizona University.

#### **ITEM 6: SUPERVISION**

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Mrs. Tice is a Certified Financial Planner of WTWM. WTWM provides investment advisory services in accordance with its policies and procedures manual. Dave Johnson serves as WTWM's Chief Compliance Officer and is primarily responsible for implementation of the Firm's policies and procedures. Mr. Johnson may be contacted at (800) 825-0616 or [compliance@wtwealthmanagement.com](mailto:compliance@wtwealthmanagement.com) for more information about this Brochure Supplement.



# WEALTHMANAGEMENT

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT  
August 5, 2025**

**GLENN LEEST**

**SENIOR INVESTMENT ADVISOR**

(928) 225-2474

[gleest@wtwealthmanagement.com](mailto:gleest@wtwealthmanagement.com)

Supervised From:

20865 North 90<sup>th</sup> Place, Suite 105  
Scottsdale, AZ 85255

Located At:

813 N Beaver St,  
Flagstaff, AZ 86001

This brochure supplement provides information about Glenn Leest, Senior Investment Advisor of WT Wealth Management, LLC ("WTWM" or the "Firm"), that supplements the WT Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Dave Johnson, Chief Compliance Officer, if you did not receive WT Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about the Firm's Investment Adviser Representatives is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The site is searchable by a unique identifying number known as a CRD number. Mr. Leest's CRD number is 6234623.

## ITEM 2: EDUCATION AND BUSINESS EXPERIENCE

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CRD No. 6234623  
Year of Birth: 1985

### Educational Background

**Coconino Community College** (2013)  
Associate degree

### Business Experience

**WT Wealth Management, LLC** (Jan. 2018 - Present)  
Senior Investment Advisor

**Four Peaks Wealth Management, LLC** (May 2015 – Dec. 2017)  
Investment Advisor Representative

**Spence Cassidy and Associates, LLC** (Jun. 2013 – May 2015)  
Insurance Agent

**OneAmerica Securities, Inc.** (Jun. 2013 – May 2015)  
Registered Representative

## ITEM 3: DISCIPLINARY INFORMATION

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**There are no legal, civil, or disciplinary events to disclose regarding Mr. Leest.** Mr. Leest has never been involved in any investment related regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Leest.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair, or unethical practices. **As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mr. Leest.**

However, we do encourage you to independently view the background of Mr. Leest on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD No. 6234623.

## ITEM 4: OTHER BUSINESS ACTIVITIES

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Mr. Leest is 100% Owner and Sole Member of Andrizo Investing, PLLC (“Andrizo”). All financial advisory activity related to his Investment Advisor Representative registration with WTWM is conducted through the entity. Mr. Leest’s activities related to Andrizo are

basic administration and maintenance of the entity which take approximately two hours per month outside of securities trading hours.

Mr. Leest serves as an associate pastor at Bridge Church. Mr. Leest provides financial oversight to Bridge Church as a board member and financial committee member.

Mr. Leest is a Prop Trader for Topstep, LLC. Topstep, LLC offers a prop trading program where a trader can prove their stock futures trading skills by achieving profitability targets within prespecified risk parameters. The program is set up in stages, with the early stages being simulated trading and the later stages involving the trading of investor capital and the ability for the Prop Trader to earn income from trading performance. Glenn spends approximately ten hours per month on his prop trading activities, which are conducted as one component of his marketing plan outside of WTWM business hours. Glenn does not provide futures trading or related advice to WTWM Clients. No WTWM Client capital is traded in prop trading strategies by Mr. Leest.

#### **ITEM 5: ADDITIONAL COMPENSATION**

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Mr. Leest does not receive any economic benefit from any person, company or organization, in exchange for providing clients advisory services through WT Wealth Management.

Mr. Leest receives trading performance-based compensation from Topstep, LLC, for his prop trading activities.

#### **ITEM 6: SUPERVISION**

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Mr. Leest is a Senior Investment Advisor of WTWM. WTWM provides investment advisory services in accordance with its policies and procedures manual. Dave Johnson serves as WTWM's Chief Compliance Officer and is primarily responsible for implementation of the Firm's policies and procedures. Mr. Johnson may be contacted at (800) 825-0616 or [compliance@wtwealthmanagement.com](mailto:compliance@wtwealthmanagement.com) for more information about this Brochure Supplement.



# WEALTHMANAGEMENT

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT  
August 5, 2025**

**JACKSON ROGERS**

INVESTMENT ADVISOR REPRESENTATIVE

(800) 825-0616

[jrogers@wtwealthmanagement.com](mailto:jrogers@wtwealthmanagement.com)

20865 North 90<sup>th</sup> Place, Suite 105  
Scottsdale, AZ 85255

This brochure supplement provides information about Jackson Rogers, Investment Advisor Representative of WT Wealth Management, LLC ("WTWM" or the "Firm"), that supplements the WT Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Dave Johnson, Chief Compliance Officer, if you did not receive WT Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about the Firm's Investment Adviser Representatives is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The site is searchable by a unique identifying number known as a CRD number. Mr. Rogers's CRD number is 7169007.

## ITEM 2: EDUCATION AND BUSINESS EXPERIENCE

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CRD No. 7169007

Year of Birth: 1994

### Educational Background

**Arizona State University** (2017)  
MBA in Economics

**McGill University** (2015)  
BS Degree in Finance from the Desautels Faculty of  
Management

### Business Experience

**WT Wealth Management, LLC** (Sep. 2019 – Present\*)  
Investment Advisor Representative

**WT Wealth Management, LLC** (Jan. 2024 – Jan. 2025)  
Chief Compliance Officer

**Arizona State University** (Aug. 2015 – Dec. 2017)  
Student

**WT Wealth Management, LLC** (Jun. 2015 – Dec. 2016)  
Intern / Equity Analyst

**McGill University** (Aug. 2013 – May 2015)  
Student

*\*Though his registration remains active with WTWM, currently Mr. Rogers is not advising Clients.*

## ITEM 3: DISCIPLINARY INFORMATION

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**There are no legal, civil, or disciplinary events to disclose regarding Mr. Rogers.** Mr. Rogers has never been involved in any investment-related regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Rogers.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair, or unethical practices. **As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mr. Rogers.**



However, we do encourage you to independently view the background of Mr. Rogers on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD No. 7169007.

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**ITEM 4: OTHER BUSINESS ACTIVITIES**

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Though his registration remains active through WTWM, currently Mr. Rogers is not advising Clients and is engaged in other non-investment-related business activities.

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**ITEM 5: ADDITIONAL COMPENSATION**

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Mr. Rogers does not receive any economic benefit from any person, company or organization, other than the client, in exchange for providing clients advisory services through WT Wealth Management. However, Mr. Rogers is not currently advising clients.

Mr. Rogers receives compensation through his other non-investment-related business activities.

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**ITEM 6: SUPERVISION**

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Mr. Rogers is an Investment Adviser Representative of WTWM. WTWM provides investment advisory services in accordance with its policies and procedures manual. Dave Johnson serves as WTWM's Chief Compliance Officer and is primarily responsible for implementation of the Firm's policies and procedures. Mr. Johnson may be contacted at (800) 825-0616 or [compliance@wtwealthmanagement.com](mailto:compliance@wtwealthmanagement.com) for more information about this Brochure Supplement.



# WEALTHMANAGEMENT

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT  
August 5, 2025**

**JACOB R. WEST**

INVESTMENT ADVISOR

(800) 825-0616

[jwest@wtwealthmanagement.com](mailto:jwest@wtwealthmanagement.com)

20865 North 90<sup>th</sup> Place, Suite 105  
Scottsdale, AZ 85255

This brochure supplement provides information about Jacob R. West, Investment Advisor of WT Wealth Management, LLC ("WTWM" or the "Firm"), that supplements the WT Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Dave Johnson, Chief Compliance Officer, if you did not receive WT Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about the Firm's Investment Adviser Representatives is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The site is searchable by a unique identifying number known as a CRD number. Mr. West's CRD number is 7785395.

## ITEM 2: EDUCATION AND BUSINESS EXPERIENCE

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CRD No. 7785395

Year of Birth: 1999

### Educational Background

#### **University of Oregon**

**(Sep. 2019- Jun. 2023)**

Bachelor of Arts in Business Administration

Bachelor of Arts in Psychology

### Business Experience

#### **Investment Advisor**

**(Jul. 2023 - Present)**

WT Wealth Management, LLC

#### **Chief Executive Officer**

**(Jun. 2024 - Present)**

Smilin'

#### **Tech Consultant**

**(Feb. 2025 - Present)**

Quest Consulting, LLC

#### **Partner/Owner/Member**

**(Feb. 2025 - Present)**

Black Mountain Investment Group

#### **General Partner**

**(Apr. 2025 - Present)**

Black Mountain Investments (fka The Jupiter Fund)

#### **Financial Service Specialist**

**(Jun. 2024 - Dec. 2024)**

WT Wealth Management, LLC

## ITEM 3: DISCIPLINARY INFORMATION

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**There are no legal, civil, or disciplinary events to disclose regarding Mr. West.** Mr. West has never been involved in any investment related regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. West.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair, or unethical practices. **As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mr. West.**

However, we do encourage you to independently view the background of Mr. West on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD No. 7785395.

#### **ITEM 4: OTHER BUSINESS ACTIVITIES**

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Mr. West is a Tech Consultant for Quest Consulting, LLC, (“QC”) a limited liability company wholly owned by Mr. West, that provides technology consulting solutions for Black Mountain Investment Group (“BMIG”), of which Mr. West is an equity partner. BMIG is a technology solutions company that provides back-office management and technology consulting for companies needing modernization and is not an investment-related activity. Mr. West spends 2 to 5 hours per month on this activity, at times that do not interfere with his duty to WTWM nor its Clients.

Mr. West is a General Partner (“GP”) of Black Mountain Investments (“BMI”) (f.k.a The Jupiter Fund), which engages in cryptocurrency, private company, and general stock market investing. Mr. West’s role for BMI is limited to voting on GP matters and executing stock market trades, and is not involved in capital raising, soliciting, or client advisory activities. Mr. West spends 15 to 20 hours per month on this activity, at times that do not interfere with his duty to WTWM nor its Clients.

Mr. West is the Chief Executive Officer of Smilin’, a startup social media company and is non-investment related. Mr. West oversees product development, market strategy, team leadership and investor relations. Mr. West spends 1 to 3 hours per month on these activities, at times that do not interfere with his duty to WTWM nor its Clients.

#### **ITEM 5: ADDITIONAL COMPENSATION**

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Mr. West does not receive any economic benefit from any person, company or organization, other than the client, in exchange for providing clients advisory services through WTWM.

Mr. West is compensated for his technology consulting services provided to BMIG through QC on a commission basis. Mr. West is therefore incentivized to generate leads for BMIG. To mitigate this conflict, WTWM has processes and procedures in place to supervise Mr. West’s activities under this other business activity (“OBA”) in relation to any current or potential Client of WTWM.

Mr. West has an ownership interest in BMIG, BMI, QC and Smilin’ and is thus incentivized to make decisions or take actions aimed at increasing the value of these companies. To mitigate any potential conflict of interest, Mr. West’s activities related to these OBAs are limited to voting, equity trading, and providing technology consulting services as described above.

#### **ITEM 6: SUPERVISION**

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Mr. West is an Investment Advisor of WTWM. WTWM provides investment advisory services in accordance with its policies and procedures manual. Dave Johnson serves as WTWM’s Chief Compliance Officer and is primarily responsible for implementation of the Firm’s policies and procedures. Mr. Johnson may be contacted at (800) 825-0616 or

[compliance@wtwealthmanagement.com](mailto:compliance@wtwealthmanagement.com) for more information about this Brochure Supplement.



# WEALTHMANAGEMENT

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT  
August 5, 2025**

**JOHN HEILNER**

**CHIEF INVESTMENT OFFICER  
SENIOR INVESTMENT ADVISOR**

**(800) 825-0616**

**[jheilner@wtwealthmanagement.com](mailto:jheilner@wtwealthmanagement.com)**

**20865 North 90<sup>th</sup> Place, Suite 105  
Scottsdale, AZ 85255**

This brochure supplement provides information about John Heilner, Chief Investment Officer and Investment Adviser Representative of WT Wealth Management, LLC ("WTWM" or the "Firm"), that supplements the WT Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Dave Johnson, Chief Compliance Officer, if you did not receive WT Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about the Firm's Investment Adviser Representatives is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The site is searchable by a unique identifying number known as a CRD number. Mr. Heilner's CRD number is 2431461.

## ITEM 2: EDUCATION AND BUSINESS EXPERIENCE

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CRD No. 2431461

Year of Birth: 1966

### Educational Background

**Central CT State University** (1989 - 1993)

BS in Finance

**Northeastern University** (1984-1988)

BS in Economics

### Business Experience

**WT Wealth Management, LLC** (Nov. 2013 - Present)

Chief Investment Officer (May 2018 – Present)

Senior Investment Advisor (Jan. 2018 – Present)

President, Chief Compliance Officer,  
Chief Investment Officer (Nov. 2013- May 2018)

**WT Tax Accounting** (Jul. 2014 – Aug. 2023)

Manager

**Purshe Kaplan Sterling Investments** (Apr. 2015 – Feb. 2016)

Registered Representative

**Palo Verde Capital** (June 2012 – Apr. 2013)

Portfolio Manager

**Palo Verde Capital** (April 2011 – April 2013)

Chief Economist

**Renegade Classics** (Feb. 2008 – Mar. 2011)

Owner

**ING Mutual Funds** (June 2000– Feb. 2008)

VP, National Mutual Fund Strategist

**ING Mutual Funds** (June 2000-June 2003)

VP, National Sales Desk Manager

## ITEM 3: DISCIPLINARY INFORMATION

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**There are no legal, civil, or disciplinary events to disclose regarding Mr. Heilner.** Mr. Heilner has never been involved in any investment related regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Heilner.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair, or unethical practices. **As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mr. Heilner.**

However, we do encourage you to independently view the background of Mr. Heilner on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD No. 2431461.

#### **ITEM 4: OTHER BUSINESS ACTIVITIES**

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Mr. Heilner is dedicated to the clients of WT Wealth Management and does not currently engage in any other business activities.

Mr. Heilner is dedicated to the clients of WT Wealth Management and does not currently engage in any other business activities.

#### **ITEM 5: ADDITIONAL COMPENSATION**

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Mr. Heilner does not receive any economic benefit from any person, company or organization, other than the client, in exchange for providing clients advisory services through WT Wealth Management.

#### **ITEM 6: SUPERVISION**

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Mr. Heilner is the Chief Investment Officer and a Senior Investment Advisor of WTWM. WTWM provides investment advisory services in accordance with its policies and procedures manual. Dave Johnson serves as WTWM's Chief Compliance Officer and is primarily responsible for implementation of the Firm's policies and procedures. Mr. Johnson may be contacted at (800) 825-0616 or [compliance@wtwealthmanagement.com](mailto:compliance@wtwealthmanagement.com) for more information about this Brochure Supplement.





# WEALTHMANAGEMENT

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT  
August 5, 2025**

**ROBERT F. REDWANC**

SENIOR INVESTMENT ADVISOR

(800) 825-0616

[redwanc@wtwealthmanagement.com](mailto:redwanc@wtwealthmanagement.com)

Supervised From:

20865 North 90<sup>th</sup> Place, Suite 105  
Scottsdale, AZ 85255

Located At:

Tampa, FL 33602

This brochure supplement provides information about Robert F. Redwanc, Senior Investment Advisor of WT Wealth Management, LLC ("WTWM" or the "Firm"), that supplements the WT Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Dave Johnson, Chief Compliance Officer, if you did not receive WT Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about the Firm's Investment Adviser Representatives is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The site is searchable by a unique identifying number known as a CRD number. Mr. Redwanc's CRD number is 4354455.

## **ITEM 2: EDUCATION AND BUSINESS EXPERIENCE**

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CRD No. 4354455

Year of Birth: 1956

<b>WT Wealth Management, LLC</b> Investment Advisor Representative, Senior Investment Advisor	<b>(Dec. 2015 – Present)</b>
<b>True Financial Wealth Management Firm, LLC</b> Member, Owner, Vice President	<b>(Mar. 2006– Present)</b>
<b>True Financial Wealth Management Firm, LLC</b> Investment Advisor Representative	<b>(Mar. 2013 – Nov. 2020)</b>
<b>Financial Advisors of America, LLC</b> Investment Advisor Representative, Registered Representative	<b>(Jan. 2008 – Apr. 2013)</b>
<b>Girard Securities, Inc.</b> Registered Representative, Investment Advisor Representative	<b>(Mar. 2005 – Dec. 2007)</b>
<b>Sentra Securities Corporation</b> Registered Representative	<b>(Feb. 2001 – Oct. 2002)</b>

Mr. Redwanc has no formal education after high school to disclose.

## **ITEM 3: DISCIPLINARY INFORMATION**

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**There are no legal, civil, or disciplinary events to disclose regarding Mr. Redwanc that are material to a client's perspective.**

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair, or unethical practices.

However, we do encourage you to independently view the background of Mr. Redwanc on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD No. 4354455.

## **ITEM 4: OTHER BUSINESS ACTIVITIES**

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Mr. Redwanc is the Owner, Vice President and Member of True Financial Wealth Management, LLC. Mr. Redwanc does not actively engage in activities related to the entity

other than basic administration and maintenance. This activity accounts for approximately two hours per month of Mr. Redwanc's time.

#### **ITEM 5: ADDITIONAL COMPENSATION**

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Mr. Redwanc does not receive any economic benefit from any person, company or organization, other than the client, in exchange for providing clients advisory services through WT Wealth Management.

#### **ITEM 6: SUPERVISION**

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Mr. Redwanc is a Senior Investment Advisor of WTWM. WTWM provides investment advisory services in accordance with its policies and procedures manual. Dave Johnson serves as WTWM's Chief Compliance Officer and is primarily responsible for implementation of the Firm's policies and procedures. Mr. Johnson may be contacted at (800) 825-0616 or [compliance@wtwealthmanagement.com](mailto:compliance@wtwealthmanagement.com) for more information about this Brochure Supplement.



# WEALTHMANAGEMENT

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT  
August 5, 2025**

**KEVAN SCOTT PHILLIPS**

SENIOR INVESTMENT ADVISOR

(800) 825-0616

[kphillips@wtwealthmanagement.com](mailto:kphillips@wtwealthmanagement.com)

Supervised From:

20865 North 90<sup>th</sup> Place, Suite 105  
Scottsdale, AZ 85255

Located At:

Provo, UT. 84606

This brochure supplement provides information about Kevan Scott Phillips, Senior Investment Advisor of WT Wealth Management, LLC ("WTWM" or the "Firm"), that supplements the WT Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Dave Johnson, Chief Compliance Officer, if you did not receive WT Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about the Firm's Investment Adviser Representatives is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The site is searchable by a unique identifying number known as a CRD number. Mr. Phillips' CRD number is 4382203.

## ITEM 2: EDUCATION AND BUSINESS EXPERIENCE

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CRD No. 4382203

Year of Birth: 1958

### Educational Background

**Brigham Young University – J. Reuben Clark Law School** (1983-1986)

J.D. Degree

**Brigham Young University** (1977-1982)

BA in Accounting with Minor in English

### Business Experience

**WT Wealth Management** (July 2020-Present)

Senior Investment Advisor

**Northwestern Mutual Life** (Feb. 2002-Jul. 2020)

Wealth Management Advisor

**Harris, Carter & Phillips Attorney at Law** (July 1987-Aug. 2000)

Attorney at Law

**New York Football Giants** (Jan. 1982-Jun. 1983)

## ITEM 3: DISCIPLINARY INFORMATION

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**There are no legal, civil, or disciplinary events to disclose regarding Mr. Phillips.** Mr. Phillips has never been involved in any investment related regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Phillips.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair, or unethical practices. **As previously noted, there are no legal, civil, or disciplinary events to disclose regarding Mr. Phillips.**

However, we do encourage you to independently view the background of Mr. Phillips on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD No. 4382203.

#### **ITEM 4: OTHER BUSINESS ACTIVITIES**

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Mr. Phillips is dedicated to the clients of WTWM and does not currently engage in any other business activities.

Mr. Phillips serves in a voluntary capacity as a member of the Presidency of the Provo City Center Temple of the Church of Jesus Christ of Latter-day Saints. Mr Phillips spends approximately 40 hours per week in this volunteer service.

#### **ITEM 5: ADDITIONAL COMPENSATION**

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Mr. Phillips does not receive any economic benefit from any person, company or organization, other than the client, in exchange for providing clients advisory services through WT Wealth Management.

#### **ITEM 6: SUPERVISION**

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Mr. Phillips is a Senior Investment Advisor of WTWM. WTWM provides investment advisory services in accordance with its policies and procedures manual. Dave Johnson serves as WTWM's Chief Compliance Officer and is primarily responsible for implementation of the Firm's policies and procedures. Mr. Johnson may be contacted at (800) 825-0616 or [compliance@wtwealthmanagement.com](mailto:compliance@wtwealthmanagement.com) for more information about this Brochure Supplement.