



# WEALTHMANAGEMENT

FORM ADV PART 2B  
BROCHURE SUPPLEMENT  
FEBRUARY 20, 2020

**EMY TICE, CFP**

CERTIFIED FINANCIAL PLANNER

INVESTMENT ADVISER REPRESENTATIVE

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This brochure supplement provides information about Emy Tice, Investment Adviser Representative of WT Wealth Management, LLC (“WTWM” or the “Firm”), that supplements the WT Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Dave Johnson, Chief Compliance Officer, if you did not receive WT Wealth Management, LLC’s brochure or if you have any questions about the contents of this supplement.

Additional information about the Firm’s Investment Adviser Representatives is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The site is searchable by a unique identifying number known as a CRD number. Mrs. Tice’s CRD number is 6499262.

## ITEM 1 BACKGROUND

### A. GENERAL REQUIREMENTS

Generally, WTWM requires employees to have relevant work experience in the securities industry. Any employee of WTWM acting in a representative capacity will be appropriately licensed and registered as such.

### B. INVESTMENT ADVISER REPRESENTATIVE INFORMATION

We currently have twelve (12) investment adviser representatives employed by WTWM. This Brochure Supplement provides information about **Emy Tice**.

## ITEM 2 EDUCATION AND BUSINESS EXPERIENCE

CRD No. 6499262

Year of Birth: 1969

### Educational Background

**University of Georgia, Terry College of Business** (2014)  
Certificate in Financial Planning

**Northern Arizona University** (1994)  
MBA

**Northern Arizona University** (1993)  
BSBA Finance

Mrs. Tice is a Certified Financial Planner. The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 86,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services,

and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;

- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

#### Business Experience

**Certified Financial Planner,  
Investment Adviser Representative**  
WT Wealth Management, LLC

**Jan. 2018 – Present**

**Certified Financial Planner**  
Four Peaks Wealth Management, LLC

**May. 2015 – Dec. 2017**

**Senior Lecturer of Finance**  
Northern Arizona University, W.A. Franke College of Business

**Aug. 2011 – Present**

**General Manager** Jan. 2003 – Aug. 2011  
Boyer Heating and Cooling

**Business Manager** Mar. 1995 – Jan. 2003  
Boyer Heating and Cooling

**Part-Time Instructor of Business** Jan. 1995 to May 2011  
Northern Arizona University, W.A. Franke College of Business

### **ITEM 3 DISCIPLINARY INFORMATION**

None. Mrs. Tice does not have any legal or disciplinary events material to a client's or prospective client's evaluation.

### **ITEM 4 OTHER BUSINESS ACTIVITIES**

Mrs. Tice serves as a Senior Lecturer of Finance for Northern Arizona University. Mrs. Tice may spend as much as 70% of her time with this other business activity.

### **ITEM 5 ADDITIONAL COMPENSATION**

Mrs. Tice receives a salary as a Senior Lecturer for Northern Arizona University.

### **ITEM 6 SUPERVISION**

Mrs. Tice is an Investment Adviser Representative of WTWM. WTWM provides investment advisory services in accordance with its policies and procedures manual. Dave Johnson serves as WTWM's Chief Compliance Officer and is primarily responsible for implementation of the Firm's policies and procedures. Mr. Johnson may be contacted at (435) 640-8236 or [djohnson@wtwealthmanagement.com](mailto:djohnson@wtwealthmanagement.com) for more information about this Brochure Supplement.